

Atty. Dkt. No. 73139/101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Malackowski et al.

Title: SYSTEM FOR AND METHOD OF
RISK MINIMIZATION AND
ENHANCED RETURNS IN AN
INTELLECTUAL CAPITAL BASED
VENTURE INVESTMENT

Appl. No.: Unknown

Filing Date: Unknown

Examiner: Unknown

Art Unit: Unknown

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231.	
EL564017736US	March 22, 2001
(Express Mail Label Number)	(Date of Deposit)
Deborah A. Kocorowski	
(Printed Name)	
<i>Deborah A. Kocorowski</i>	
(Signature)	

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

James E. Malackowski
David A. Kennedy
Roger L. May

[X] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

[X] Specification, Claim(s), and Abstract (19 pages).

[X] Informal drawings (3 sheets, Figures 1-3).

[X] Declaration and Power of Attorney (5 pages).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	22	- 20	= 2	x \$18.00	= \$36.00
Independents:	3	- 3	= 0	x \$80.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	= \$0.00
				SUBTOTAL:	= \$746.00
[X]				Small Entity Fees Apply (subtract ½ of above):	= \$373.00
				TOTAL FILING FEE:	= \$373.00

- [X] A check in the amount of \$373.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 22, 2001

By



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